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DOCKET NO.: MS177851.01/MSFTP954US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Applicant: Eric J. Horvitz, *et al.*
Serial No.: 10/036,566
Filed: December 21, 2001
For: METHODS, TOOLS, AND INTERFACES FOR THE DYNAMIC ASSIGNMENT OF PEOPLE TO GROUPS TO ENABLE ENHANCED COMMUNICATION AND COLLABORATION
Art Unit: 2143
Examiner: Alina A. Boutah

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

1. Pursuant to 37 C.F.R. §1.97 and §1.98, and in compliance with 37 C.F.R. §1.56, the Office's attention is directed to the patents, publications and other information listed on the attached PTO-1449. A copy of each listed document is enclosed except for: (a) pending applications; (b) those previously cited or submitted to the Office in the following application(s) upon which this application relies for an earlier filing date under 35 U.S.C. §120; and/or (c) U.S. Patent(s) and published applications(s).

Serial No.: _____
Filing Date: _____

Regarding any document, publication or other information for which a date is not given on the attached PTO-1449, Applicant(s) believe(s) the same may qualify as "prior" art to this application and should be treated accordingly, although Applicant(s) reserve(s) the right to contest the prior art status of any document, publication or information, should issue arise.

2. Regarding each listed document that is not in the English language, an English-language translation accompanies this Statement as indicated on the attached PTO-1449 or a concise explanation of the relevance of the document is set forth in the following document(s):

- (a) ☐ Copy of each English language version of a search report indicating the degree of relevance found by the foreign office of each document being submitted from the search report.
- (b) ☐ Attachment entitled "Concise Explanation of Relevance of Non-English Language Documents".

3. Pursuant to 37 C.F.R. §1.97(b) this Statement is being filed (one must be checked):

- (a) ☐ Within 3 months of the filing date or date of entry into the National Stage.
- (b) ☐ Before the mailing date of a first Office Action on the merits. If this Statement is not filed before the mailing date of a first Office Action on the merits, the required certification is given below or, in the absence thereof, the Office is authorized to charge the required fee set forth in 37 C.F.R. §1.17(p) to Deposit Account No. 50-1063 for consideration of this Statement.
- (c) ☐ Before the mailing date of an Office Action after the filing of a request for continued examination under 1.114.

- (d) ☒ After the period set forth in 37 C.F.R. §1.97(b) but before the mailing date of either a final action or a notice of allowance.
- (1) ☐ The required certification is given below, or
- (2) ☒ Regarding the fee set forth in 37 C.F.R. §1.17(p) for consideration of this Statement :
- (i) ☐ Enclosed is a check covering the fee;
- (ii) ☐ Charge the fee set forth in 37 C.F.R. §1.17(p) to Deposit Account No. 50-1063; or
- (iii) ☒ Enclosed is a credit card payment form covering the fee.
- (e) ☐ After the mailing date of either a final action or a notice of allowance, but before payment of the issue fee. Petition hereby is made for consideration of this Statement and the required certification is indicated below. Regarding the fee set forth in 37 C.F.R. §1.17(i)(1) for consideration of this Statement:
- (1) ☐ Enclosed is a check covering the fee;
- (2) ☐ Charge the fee set forth in 37 C.F.R. §1.17(i)(1) to Deposit Account No. 50-1063; or
- (3) ☐ Enclosed is a credit card payment form covering the fee.


4. Certification (if applicable)

- (a) ☐ The undersigned hereby certifies that each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this Statement.
- (b) ☐ The undersigned hereby certifies that no item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the undersigned's knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than 3 months prior to the filing of this Statement.

5. The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 50-1063.

Respectfully Submitted,

By



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Reg. No. 40,894

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CERTIFICATE OF FACSIMILE

I hereby certify that this correspondence (along with any paper referenced as being attached or enclosed) is being faxed to 571-273-8300 on the date shown below to Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Date

2/15/06
Himanshu S. Amin

FEB 15 2006

PTO/SB/08a (08-03)

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Substitute for form 1449A/PTO

**SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT
BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 6

Complete if Known

Application Number	10/036,566
Filing Date	December 21, 2001
First Named Inventor	Eric J. Horvitz, et al.
Art Unit	2143
Examiner Name	Alina A. Boutah
Attorney Docket Number	MS177851.01/MSFTP954US

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 6,513,046	01-28-2003	Abbott, III et al.	
		US- 6,747,675	06-08-2004	Abbott et al.	
		US- 6,801,223	10-05-2004	Abbott et al.	
		US- 6,842,877	01-11-2005	Robarts et al.	
		US- 6,466,232	10-15-2002	Newell et al.	
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		US- 6,791,580	09-14-2004	Abbott et al.	
		US- 6,812,937	11-02-2004	Abbott et al.	
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		US- 2002/0044152	04-18-2002	Abbott, III et al.	
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		US- 2002/0054174	05-09-2002	Abbott et al.	
		US- 2002/0080155	06-27-2002	Abbott et al.	
		US- 2002/0083025	06-27-2002	Robarts et al.	
		US- 2002/0087525	07-04-2002	Abbott et al.	
		US- 2003/0046401	03-06-2003	Abbott et al.	
		US- 2005/0034078	02-10-2005	Abbott et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶

Examiner
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449A/PTO SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Complete if Known	
		Application Number	10/036,566
		Filing Date	December 21, 2001
		First Named Inventor	Eric J. Horvitz, et al.
		Art Unit	2143
		Examiner Name	Alina A. Boutah
		Attorney Docket Number	MS177851.01/MSFT954US

Sheet 2 of 6

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		US- 2001/0040590	11-15-2001	Abbott et al.	
		US- 2001/0043231	11-22-2001	Abbott et al.	
		US- 2002/0032689	03-14-2002	Abbott, III et al.	
		US- 2002/0052930	05-02-2002	Abbott et al.	
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		US- 2002/0078204	06-20-2002	Newell et al.	
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		US- 5,603,054	02-11-1997	Theimer et al.	
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		US- 5,611,050	03-11-1997	Theimer et al.	
		US- 5,544,321	08-06-1996	Theimer et al.	
		US- 5,493,692	02-20-1996	Theimer et al.	
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS

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Examiner Signature	Date Considered
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Substitute for form 1449A/PTO SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Complete if Known Application Number 10/036,566 Filing Date December 21, 2001 First Named Inventor Eric J. Horvitz, et al. Art Unit 2143 Examiner Name Alina A. Boutah Attorney Docket Number MST77851.01/MSFTP954US	
Sheet	3	of	6

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 5,859,979	01-12-1999	Tung, et al.	
		US- 5,963,910	10-05-1999	Ulwick	
		US- 6,032,189	02-29-2000	Jizenji, et al.	
		US- 6,044,486	03-28-2000	Underseath	
		US- 6,181,684	01-30-2001	Turcotte, et al.	
		US- 6,212,550	04-03-2001	Segur	
		US- 6,493,685	12-10-2002	Ensel, et al.	
		US- 4,672,632	06-09-1987	Andersen	
		US- 5,790,801	08-04-1998	Funato	
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		US- 6,654,734	11-25-2003	Mani, et al.	
		US- 6,738,931	05-18-2004	Osborn, et al.	
		US- 2002/0118772 A1	08-29-2002	Lin	
		US- 2002/0161862	10-31-2002	Horvitz	
		US- 2002/0174199	11-21-2002	Horvitz	
		US- 2003/0004679	01-02-2003	Tryon, et al.	
		US- 2003/0097485	05-22-2003	Horvitz, et al.	
		US- 2004/0143636	07-22-2004	Horvitz, et al.	

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Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				

Examiner Signature		Date Considered	
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		Application Number	10/036,566		
		Filing Date	December 21, 2001		
		First Named Inventor	Eric J. Horvitz, et al.		
		Art Unit	2143		
		Examiner Name	Alina A. Boutah		
Sheet	4	of	6	Attorney Docket Number	MS177851.01/MSFTP954US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		ANDY HARTER, et al., A Distributed Location System for the Active Office, IEEE Network, 1994, pp. 62-70.	
		GUANLING CHEN, et al., A Survey of Context-Aware Mobile Computing Research, Dartmouth Computer Science Technical Report, 2000, 16 pages.	
		WILLIAM NOAH SCHILT, A System Architecture for Context-Aware Mobile Computing, Columbia University, 1995, 153 pages.	
		MIKE SPREITZER, et al., Providing Location Information in a Ubiquitous Computing Environment, SIGOPS '93, 1993, pp.270-283.	
		MARVIN THEIMER, et al., Operating System Issues for PDAs, In Fourth Workshop on Workstation Operating Systems, 1993, 7 pages.	
		ROY WANT, Active Badges and Personal Interactive Computing Objects, IEEE Transactions on Consumer Electronics, 1992, 11 pages, Vol. 38 - No. 1.	
		BILL N. SCHILIT, et al., The ParcTab Mobile Computing System, IEEE WWOS-IV, 1993, 4 pages.	
		BILL SCHILIT, et al., Context-Aware Computing Applications, In Proceedings of the Workshop on Mobile Computing Systems and Applications, December 1994. pp. 85-90.	
		BILL N. SCHILIT, et al., Customizing Mobile Applications, Proceedings USENIX Symposium on Mobile and Location Independent Computing, August 1993, 9 pages.	
		MIKE SPREITZER, et al., Architectural Considerations for Scalable, Secure, Mobile Computing with Location Information, In The 14th International Conference on Distributed Computing Systems, June 1994. pages 29-38.	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1448/PTO

**SUPPLEMENTAL
INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/036,566
Filing Date	December 21, 2001
First Named Inventor	Eric J. Horvitz, et al.
Art Unit	2143
Examiner Name	Alina A. Boutah
Attorney Docket Number	MS177851.01/MSFTP954US

Sheet

5

of

6

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		MIKE SPREITZER et al., Scalable, Secure, Mobile Computing with Location Information, Communications of the ACM, July 1993, 1 page, Vol. 36 - No. 7.	
		ROY WANT, et al., The Active Badge Location System, ACM Transactions on Information Systems, January 1992, pp. 91-102, Vol. 10 - No. 1.	
		MARK WEISER, Some Computer Science Issues in Ubiquitous Computing, Communications of the ACM, July 1993, pp. 75-84, Vol. 36 - No. 7.	
		M. BILLINGHURST, et al., An Evaluation of Wearable Information Spaces, Proceedings of the Virtual Reality Annual International Symposium, 1998, 8 pages.	
		BRADLEY J. RHODES, Remembrance Agent: A continuously running automated information retrieval system, The Proceedings of The First International Conference on The Practical Application Of Intelligent Agents and Multi Agent Technology, 1996, pp. 487-495.	
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		BRADLEY J. RHODES, The Wearable Remembrance Agent: A System for Augmented Theory, The Proceedings of The First International Symposium on Wearable Computers, October 1997, pp. 123-128.	
		ERIC HORVITZ, et al., Attention-Sensitive Alerting in Computing Systems, Microsoft Research, August 1999.	
		BILL N. SCHILIT, et al., Disseminating Active Map Information to Mobile Hosts, IEEE Network, 1994, pages 22-32, Vol. 8 - No. 5.	
		MARK BILLINGHURST, et al., Wearable Devices: New Ways to Manage Information, IEEE Computer Society, January 1999, pp. 57-64.	

Examiner Signature		Date Considered	
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Substitute for form 1449/PTO SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Complete if Known			
		Application Number	10/036,566		
		Filing Date	December 21, 2001		
		First Named Inventor	Eric J. Horvitz, et al.		
		Art Unit	2143		
		Examiner Name	Alina A. Boutah		
Sheet	6	of	6	Attorney Docket Number	MS177851.01/MSFTP954US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		THAD EUGENE STARNER, Wearable Computing and Contextual Awareness, Massachusetts Institute of Technology, June 1999, 248 pages.	
		BRADLEY J. RHODES, The Wearable Remembrance Agent: A System for Augmented Memory, Personal Technologies Journal Special Issue on Wearable Computing, 1997, 12 pages.	
		Workshop on Wearable Computing Systems, August 19-21, 1996.	
		MARK BILLINGHURST, Research Directions in Wearable Computing, University of Washington, May, 1998, 48 pages.	
		Mark Weiser, The Computer for the 21st Century, Scientific American, September 1991, pp. 94-104, Vol. 265 - No. 3.	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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